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Attorney Docket No.: 21882-517 UTIL

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Bertilsson et al.

SERIAL NUMBER: 10/718,071

EXAMINER: Not Yet Assigned

FILING DATE: November 20, 2003

ART UNIT: 1617

FOR: COMPOUNDS AND METHODS FOR INCREASING NEUROGENESIS

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Transmitted herewith for filing in the present application are the following documents:

1. Information Disclosure Statement (2 pages), in duplicate;
2. Modified Form 1449/PTO (2 pages), in duplicate;
3. Cited References C1-C26; and
4. Return Postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (212) 935-3000.

The Commissioner is authorized to charge any fees that may be due to the undersigned's account, Deposit Account No. 50-0311 Ref. No. 21882-517 UTIL. Please address all correspondence to customer number 35437. A duplicate copy of this transmittal letter is enclosed herewith.

Respectfully submitted,

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INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants hereby make of record the documents listed on the attached modified Form PTO-1449, as well as copies of the listed documents.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits, for the above-identified application. Accordingly, no fee or certification is believed required.

It is respectfully requested that the Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims, and signs the enclosed form PTO-1449 to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application.

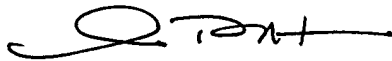
By submitting this Information Disclosure Statement, the Applicants make no representation that: (1) a search has been performed, of the extent of any search performed, or that more relevant information does not exist; (2) the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b); and (3) the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Applicants: Bertilsson et al.
U.S.S.N.: 10/718,071

The order of presentation of the references should not be construed as an indication of the importance of the references. The Examiner is urged to form his/her own conclusion regarding the relevance of the cited information.

Please charge any fees that may be due to Deposit Account No. 50-0311, Reference No. 21882-517 UTIL.

Respectfully submitted,



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PTO/SB (12-97)
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)	Application Number	10/718,071
	Filing Date	11/20/03
	First Named Inventor	Bertilsson
	Group Art Unit	1617
	Examiner Name	Not Yet Assigned
	Attorney Docket Number	21882-517 UTIL

U.S. PATENT DOCUMENTS							
Exam Initials	Cite No.	U.S. Patent Document No.	Issue Date	Name of Patentee(s) or Applicant(s)	Class	Sub Class	Filing Date

FOREIGN PATENT DOCUMENTS					
Exam Initials	Cite No.	Foreign Patent Document Office Number	Name of Patentee(s) or Applicant(s)	Date of Publication	Translation Yes No

OTHER PRIOR ART - NON-PATENT LITERATURE DOCUMENTS		
Exam Initials	Cite No.	Name of Author, Title (when appropriate), Publication, Volume, Page(s), Date, Etc.
	C1	Asanuma et al. (1996). <i>Mol. Brain Res.</i> 41 : 210-215.
	C2	Cameron and McKay (1998). <i>Current Opinion in Neurobiol.</i> 8 : 677-680.
	C3	Cassidy and Frisen (2001). <i>Nature</i> 412 : 690-691.
	C4	Dinter et al. (1997). <i>J. Mol. Med.</i> 75 : 95-102.
	C5	D'Sa and Duman (2002). <i>Bipolar Disorders</i> 4 : 183-194.
	C6	Duman et al. (2001). <i>J. Pharmacol. and Ex. Therapeutics</i> 299 : 401-407.
	C7	Duman et al. (2001). <i>Neuropsychopharmacol</i> 25 : 836-844.
	C8	Duprat et al. (2000). <i>Mol. Pharmacol.</i> 57 : 906-912.
	C9	Hallbergson et al. (2003). <i>J. Clinical Investigation</i> 112 : 1128-1133.
	C10	Hartikka et al. (1992). <i>J. Neuroscience Res.</i> 32 : 190-201.
	C11	Iona et al. (1998). <i>Mol. Pharmacol.</i> 53 : 23-32.
	C12	Kim et al. (2000). <i>Society for Neuroscience</i> 26 : 2316, Abstract No. 868.2 .
	C13	Malberg et al. (2000). <i>J. Neuroscience</i> 20 : 9104-9110.
	C14	Maric et al. (2000). <i>Cerebral Cortex</i> 10 : 561-573.
	C15	Nakagawa et al. (2002). <i>J. Neuroscience</i> 22 : 3673-3682.
	C16	Nash and Brotchie (2000). <i>J. Neuroscience</i> 20 : 7782-7789.
	C17	Pahan et al. (1997). <i>J. Biol. Chem.</i> 272 : 7786-7791.
	C18	Palmer (1985). <i>Life Sciences</i> 36 : 1995-2006.
	C19	Sakaki et al. (1997). <i>J. Neurobiology</i> 32 : 62-68.
	C20	Sugioka et al. (1996). <i>J. Physiology</i> 493 : 855-863.
	C21	Sugioka et al. (1999). <i>Int. J. Devl. Neuroscience</i> 17 : 163-172.
	C22	Temple (2001). <i>Nature</i> 414 : 112-117.
	C23	Vitolo et al. (2002). <i>Proc. Natl. Acad. Sci. USA</i> 99 : 13217-13221.
	C24	Wyttenbach et al. (2001). <i>Human Mol. Genetics</i> 10 : 1829-1845.

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Exam Initials	Cite No.	Name of Author, Title (when appropriate), Publication, Volume, Page(s), Date, Etc.
	C25	Yamashita et al. (1994). <i>J. Neurobiology</i> 25: 1144-1153.
	C26	Yamashita and Sugioka (1998). <i>News Physiol. Sci.</i> 13: 75-79.

*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. _____, filed _____, and relied upon for an earlier filing date under 35 U.S.C. §120 (continuation, continuation-in-part, and divisional applications).

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

NYC 281429v1